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U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
0112300/012Total Pages in this Submission  
48**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**GAMING DEVICE WITH AWARD AND DEDUCTION PROXIMITY-BASED SOUND EFFECT FEATURE**

and invented by:

Joseph E. Kaminkow and Jeffrey P. Laakso

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

 Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Which is a:

 Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Which is a:

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Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 32 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Microfiche Appendix (*if applicable*)
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if drawings filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

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# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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48

## Application Elements (Continued)

3.  Drawing(s) (*when necessary as prescribed by 35 USC 113*)
  - a.  Formal Number of Sheets \_\_\_\_\_
  - b.  Informal Number of Sheets 8
4.  Oath or Declaration
  - a.  Newly executed (*original or copy*)  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
  - c.  With Power of Attorney  Without Power of Attorney
  - d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Computer Program in Microfiche (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
  - a.  Paper Copy
  - b.  Computer Readable Copy (*identical to computer copy*)
  - c.  Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8.  Assignment Papers (*cover sheet & document(s)*)
9.  37 CFR 3.73(B) Statement (*when there is an assignee*)
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Acknowledgment postcard
14.  Certificate of Mailing  
 First Class  Express Mail (*Specify Label No.:* EL387672915US)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16.  Additional Enclosures (*please identify below*):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	33	- 20 =	13	x \$18.00	\$234.00
<b>Indep. Claims</b>	10	- 3 =	7	x \$78.00	\$546.00
<b>Multiple Dependent Claims (check if applicable)</b>					\$0.00
				<b>BASIC FEE</b>	\$690.00
<b>OTHER FEE (specify purpose)</b>					\$0.00
				<b>TOTAL FILING FEE</b>	\$1,470.00

- A check in the amount of **\$1,470.00** to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_ as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Adam H. Masia  
Reg. No. 35,602

Dated: September 7, 2000

cc:

**UNITED STATES PATENT APPLICATION**

**FOR**

**GAMING DEVICE WITH AWARD AND DEDUCTION  
PROXIMITY-BASED SOUND EFFECT FEATURE**

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Our File No.: 0112300-012

**EL387672915US**

## **GAMING DEVICE WITH AWARD AND DEDUCTION PROXIMITY-BASED SOUND EFFECT FEATURE**

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### **DESCRIPTION**

The present invention relates in general to a gaming device, and  
15 more particularly to a gaming device which generates various sound effects based upon a player's proximity to an award or deduction.

### **BACKGROUND OF THE INVENTION**

Existing gaming machines, such as traditional slot machines have  
20 certain outcomes in primary games and bonus rounds which result in a player gaining awards or values. Often the outcome is the player reaching a winning value, symbol or combination of symbols out of a relatively large set of non-winning values, symbols or combinations of symbols.

Furthermore, existing gaming devices typically use sound effects to emphasize certain game outcomes. A common sound effect is the sound of a ringing bell when a player wins an award. Various sound effects are used for other events such as the beginning and ending of a primary game

5 and the initiation of a bonus round.

Known gaming devices do not, however, generate sound effects in relation to how close a player comes to reaching a certain outcome or how often a player avoids a certain type of outcome. For example, existing gaming devices do not generate various sound effects when a player

10 comes within a certain proximity to a winning outcome or when a player repeatedly fails to reach certain outcomes for a certain number of times.

To increase player enjoyment and excitement, it is desirable to provide players with new features for gaming devices, where the new features involve sound effects associated with how close a player comes

15 to reaching certain outcomes which do not result in an award or a deduction.

## SUMMARY OF THE INVENTION

The present invention overcomes the above shortcomings by

20 providing a gaming device which includes various award and deduction conditions in primary games or bonus rounds and which generates sound effects associated with not reaching certain award and deduction conditions. An award condition, as used herein, includes any game

situation or event which results in a player gaining an award. A deduction condition, as used herein, includes any game situation or event which results in a player losing an award. Depending upon the particular design of the gaming device, these conditions can occur in a variety of scenarios.

- 5       A preferable award condition is a player reaching targets. The targets are certain values, symbols or combinations of symbols. The targets are preferably included within a set of non-targets. Non-targets are also certain values, symbols or combinations of symbols, but they are numerically different from and/or spatially separated from the targets. If  
10      the player reaches a target, the gaming device provides the player with an award.

If the player does not reach the target, the gaming device does not provide an award. However, if the non-target which the player reached is numerically and/or spatially within a predetermined proximity to a target,  
15      the gaming device generates a sound effect. For example, an award condition may be a player reaching the value ten, which is the target. When a player reaches eight, nine, eleven or twelve, the gaming device may generate a sound effect, but if a player reaches any other number (other than ten), the gaming device might not generate a sound effect.  
20      Furthermore, the gaming device can generate different sound effects in relation to how far away (numerically and/or spatially) a non-target is from a target. In the current example with the target value ten, the sound

effects which the game generates for reaching the values nine and eleven may be different than the sound effects generated for reaching the values eight and twelve.

A preferable deduction condition is the player reaching pitfalls.

- 5 Pitfalls are predetermined values, symbols or combinations of symbols. The pitfalls are preferably included within a set of non-pitfalls which are also predetermined values, symbols or combinations of symbols. However, the non-pitfalls are numerically different from and/or spatially separated from the pitfalls. If the player reaches a pitfall, the gaming
- 10 device deducts an award or portion of an award from the player. If the player avoids a pitfall, the gaming device may generate a sound effect if the non-pitfall which the player reached is numerically and/or spatially within a predetermined proximity to a pitfall.

For example, a deduction condition can be a player reaching the

15 value twenty, which is the pitfall. When a player reaches eighteen, nineteen, twenty-one or twenty-two, the gaming device generates a sound effect, but if a player reaches any other number (other than twenty), the gaming device will not generate a sound effect. Furthermore, the gaming device can generate different sound effects in relation to how far away

20 (numerically and/or spatially) a non-pitfall is from a pitfall. In the above example where the pitfall value is twenty, the sound effects which the game generates for reaching the values nineteen and twenty-one may be

different than the sound effects generated for reaching the values eighteen and twenty-two.

Furthermore, when a player does not reach an award condition or deduction condition repeatedly for a predetermined number of times, the 5 gaming device preferably generates a sound effect. For example, if a player misses a target five times, the gaming device generates a certain sound effect.

In one preferred embodiment, a bonus round includes two characters on a path and a plurality of award conditions. As described 10 above, the award conditions involve target values and non-target values. The game scenario involves a character chasing another character along the path. The player uses a play button or activator to generate values which determine how many steps each character will move and ultimately determine where the characters will be located with respect to one 15 another. Here, the target value is the number of steps to be taken by the chasing character such that the fleeing character would be caught. The non-target value is the number of steps to be taken by the fleeing character such that the chasing character would not catch the fleeing character.

20 If the player reaches certain predetermined non-target values, the gaming device generates a sound effect. These non-target values are within a predetermined proximity with respect to the target value. For

example, if the chasing character must make five steps to catch the fleeing character, the gaming device may generate a sound effect if the chasing character makes four steps. In the same example, if the chasing character makes one, two or three steps, the gaming device may not

5 generate a sound effect. In addition, the sound effects can vary depending upon how far away the chasing character is from the fleeing character. For instance if the chasing character lands one step behind the fleeing character, the gaming device may generate one type of sound effect, and if the chasing character lands two steps behind the fleeing

10 character, the gaming device may generate another type of sound effect.

In another embodiment of the present invention in a primary game or bonus round, the gaming device includes a plurality of award conditions and a plurality of targets. The targets are hearts, and the gaming device enables the player to shoot an arrow directed towards the hearts. If the

15 player does not hit a heart within a certain number of attempts, the gaming device generates a sound effect.

In yet another embodiment in a primary game or bonus round, the targets are predetermined combinations of symbols appearing on a plurality of reels. If the player reaches such a target, the gaming device

20 generates a sound effect. If the player does not reach such a target but comes within a certain proximity to the target, the gaming device generates a sound effect.

The present invention provides gaming devices with informative and entertaining sound effects. The sound effects are generated when a player comes within a certain proximity of receiving an award or deduction. Also, sound effects are generated when a player does not  
5 reach an award after having made a certain number of attempts.

It is therefore an object of the present invention to provide a gaming device with sound effects based upon a player's proximity to an award or deduction.

Other objects, features and advantages of the invention will be  
10 apparent from the following detailed disclosure, taken in conjunction with the accompanying sheets of drawings, wherein like numerals refer to like parts, elements, components, steps and processes.

#### BRIEF DESCRIPTION OF THE DRAWINGS

15 Fig. 1 is a front elevational view of one embodiment of the gaming device of the present invention;

Fig. 2 is a schematic block diagram of the electronic configuration of one embodiment of the gaming device of the present invention;

20 Fig. 3 is a table of target values and non-target values in one embodiment of the present invention;

Fig. 4 is a table of pitfall values and non-pitfall values in one embodiment of the present invention;

Fig. 5 is a top plan view of non-target symbols and a target symbol in one embodiment of the present invention; and

Fig. 6 is a top plan view of non-pitfall symbols and a pitfall symbol in one embodiment of the present invention; and

5 Fig. 7 is a top plan view of the chasing character and fleeing character scheme in one embodiment of the present invention;

Fig. 8 is a top plan view of the chasing character and fleeing character scheme in another embodiment of the present invention;

10 Fig. 9 is a top plan view of the heart and arrow scheme in one embodiment of the present invention;

Fig. 10 is a table of the number of times a player does not reach an award or deduction in relation to sound effect type in one embodiment of the present invention;

15 Fig. 11 is a top plan view of the reels and an illustrative winning combination in one embodiment of the present invention; and

Fig. 12 is a top plan view of the reels and an illustrative sound effect-causing combination in one embodiment of the present invention.

## DETAILED DESCRIPTION OF THE INVENTION

### Gaming Device and Electronics

5

Referring now to the drawings, Fig. 1 generally illustrates a gaming device 10 of one embodiment of the present invention, which is preferably a slot machine having the controls, displays and features of a conventional slot machine. Gaming device 10 is constructed so that a player can 10 operate gaming device 10 while standing or sitting. However, it should be appreciated that gaming device 10 can be constructed as a pub-style table-top game (not shown) which a player can operate preferably while sitting. Gaming device 10 can also be implemented as a program code stored in a detachable cartridge for operating a hand-held video game 15 device. Also, gaming device 10 can be implemented as a program code stored on a disk or other memory device which a player can use in a desktop or laptop personal computer or other computerized platform.

Gaming device 10 can incorporate any primary game such as slot, poker or keno in addition to any of their bonus triggering events which 20 trigger the bonus scheme of the present invention. The symbols and indicia used on and in gaming device 10 may be in mechanical, electrical or video form.

As illustrated in Fig. 1, gaming device 10 includes a coin slot 12 and bill acceptor 14 where the player inserts money, coins or tokens. The player can place coins in the coin slot 12 or paper money in the bill acceptor 14. Other devices could be used for accepting payment such as  
5 readers or validators for credit cards or debit cards. When a player inserts money in gaming device 10, a number of credits corresponding to the amount deposited is shown in a credit display 16. After depositing the appropriate amount of money, a player can begin the game by pulling arm 18 or pushing play button 20. Play button 20 can be any play activator  
10 used by the player which starts any game or sequence of events in the gaming device 10.

As shown in Fig. 1, gaming device 10 also includes a bet display 22 and a bet one button 24. The player places a bet by pushing the bet one button 24. The player can increase the bet by one credit each time the  
15 player pushes the bet one button 24. When the player pushes the bet one button 24, the number of credits shown in the credit display 16 decreases by one, and the number of credits shown in the bet display 22 increases by one.

Gaming device 10 also has a display window 28 which contains a  
20 plurality of reels 30, preferably three to five reels in mechanical or video form. Each reel 30 displays a plurality of indicia such as bells, hearts, fruits, numbers, letters, bars or other images which preferably correspond

to a theme associated with the gaming device 10. If the reels 30 are in video form, the gaming device 10 preferably displays the video reels 30 at a display device such as a video monitor 32 instead of at display window 28. Furthermore, gaming device 10 preferably includes speakers 34 for 5 making sounds or playing music.

At any time during the game, a player may "cash out" and thereby receive a number of coins corresponding to the number of remaining credits by pushing a cash out button 26. When the player "cashes out," the player receives the coins in a coin payout tray 36. The gaming device 10 may employ other payout mechanisms such as credit slips redeemable by a cashier or electronically recordable cards which keep track of the player's credits.

With respect to electronics, gaming device 10 preferably includes the electronic configuration generally illustrated in Fig. 2, including a processor 38, a memory device 40 for storing program code or other data, a video monitor 32 and at least one input device such as play buttons 20. The processor 38 is preferably a microprocessor or microcontroller-based platform which is capable of displaying images, symbols and other indicia such as images of people, characters, places, things and faces of cards. Video monitor 32 is a surface on which images are displayed including any video display device or other display device (i.e., a liquid crystal display). The memory device 40 can include random access memory

(RAM) 42 for storing event data or other data generated or used during a particular game. The memory device 40 can also include read only memory (ROM) 44 for storing program code which controls the gaming device 10 so that it plays a particular game in accordance with applicable  
5 game rules and pay tables.

As illustrated in Fig. 2, the player preferably uses play buttons 20 to input signals into gaming device 10. Furthermore, it is preferable that touch screen 46 and an associated touch screen controller 48 are used instead of a conventional monitor 32. Touch screen 46 and touch screen  
10 controller 48 are connected to a video controller 50 and processor 38. A player can make decisions and input signals into the gaming device 10 by touching touch screen 46 at the appropriate places. As further illustrated in Fig. 2, the processor 38 can be connected to coin slot 12 or bill acceptor  
14. The processor 38 can be programmed to require a player to deposit a  
15 certain amount of money in order to start the game.

It should be appreciated that although a processor 38 and memory device 40 are preferable implementations of the present invention, the present invention can also be implemented using one or more application-specific integrated circuits (ASIC's) or other hard-wired devices, or using  
20 mechanical devices (collectively referred to herein as a "processor"). Furthermore, although the processor 38 and memory device 40 preferably reside on each gaming device 10 unit, it is possible to provide some or all

of their functions at a central location such as a network server for communication to a playing station such as over a local area network (LAN), wide area network (WAN), Internet connection, microwave link, and the like. The processor 38 and memory device 40 are generally referred  
5 to herein as the "computer."

With reference to Figs. 1 and 2, to operate the gaming device 10, the player must insert the appropriate amount of money or tokens at coin slot 12 or bill acceptor 14 and then pull the arm 18 or push the play button 20. The reels 30 will then begin to spin. Eventually, the reels 30 will come  
10 to a stop. As long as the player has credits remaining, the player can spin the reels 30 again. Depending upon where the reels 30 stop, the player may or may not win additional credits.

In addition to winning credits in this manner, preferably gaming device 10 also gives players the opportunity to win credits in a bonus  
15 round. This type of gaming device 10 will include a program which will automatically begin a bonus round preferably when a predetermined combination of indicia appears on a plurality of reels 30. As illustrated in the three reel slot game shown in Fig. 1, the predetermined combination of indicia could be the text "BONUS!" appearing in the same location on  
20 three adjacent reels.

## Sound Effect Function

The sound effect function of the present invention can be included in a primary game and/or bonus scheme of a gaming device. A gaming device, which includes the sound effect function of the present invention, includes one or more award conditions and/or deduction conditions. These conditions are programmed into the computer of the gaming device and are preferably predetermined. However, these conditions can be randomly generated during the operation of a primary game or bonus round. Furthermore, the award conditions or deduction conditions can occur once a player pushes a play button 20 or other activator device, or the computer may automatically cause these conditions to occur.

When an award condition occurs, the gaming device provides the player with an award, and when a deduction condition occurs, the gaming device deducts value from the player. Preferably, the award condition is satisfied when the player reaches a particular target when faced with the possibility of reaching a plurality of non-targets. Likewise, it is preferable that the deduction condition is satisfied when the player reaches a particular pitfall when faced with the possibility of reaching a plurality of non-pitfalls.

Some and preferably all of the targets and non-targets have a numeric and/or spatial relationship with each other. For example, on one

occasion, if the targets and non-targets are separated by numeric values, the player can reach a non-target which is within a certain numeric proximity to the target. On another occasion, the player can reach a different non-target which is within a different numeric proximity to the  
5 target.

This same concept applies to targets and non-targets which are spatially related to one another. For instance, monitor 32 can display a plurality of non-targets and one or more targets. On various occasions, the player can select various non-targets, some of which are closer to and  
10 some of which are further from the targets. The foregoing description about targets and non-targets also applies to pitfalls and non-pitfalls. The only difference is that instead of the player aiming to reach targets, the player aims to avoid pitfalls.

The gaming device with the sound effect function of the present  
15 invention generates various sound effects depending upon the proximity of the non-target which the player reaches to the target. As illustrated in Fig. 3, the targets 52 and non-targets 54 are numeric values. The target 52 is the value fifty, and the non-targets 54 include a plurality of values ranging from ten to one hundred. In the example illustrated in Fig. 3, if a player  
20 reaches a non-target value of forty, forty-five, fifty-five or sixty, the gaming device generates a sound effect 56. The sound effects 56 are indicated in Fig. 3 as key notes and bells. Preferably, as illustrated in Fig. 3, the type

of sound effect 56 varies depending upon the proximity of the non-target value to the target value. In the example illustrated in Fig. 3, the gaming device generates one type of sound effect 56b for reaching a non-target value of forty-five or fifty-five, and the gaming device generates a different

5 type of sound effect 56a for reaching a non-target value of forty or sixty.

The gaming device also generates sound effects 56 in this manner for the player avoiding deduction conditions. As illustrated in Fig. 4, the gaming device can include one pitfall 58 which the player seeks to avoid and a plurality of non-pitfalls 60 which the player seeks to reach.

10 Furthermore, the gaming device can generate various sound effects 56, as illustrated in Fig. 4, which are associated with the non-pitfall values. In this illustration, the pitfall value is fifty, and the non-pitfall values range from ten to one hundred. If the player reaches a non-pitfall value of forty, forty-five, fifty-five or sixty, the gaming device generates a sound effect

15 56a or 56b. As illustrated in Fig. 4, the sound effects 56 preferably vary depending upon their proximity to the pitfall value.

Furthermore, as shown in Fig. 5, targets 52 and non-targets 54 can be symbols displayed on monitor 32 instead of values. In this type of scheme, the player aims to select a target 52, however, when the player

20 makes a selection, the player does not know whether the selection will be a target 52 or a non-target 54. As shown in Fig. 5, one or more targets 52 is included within a plurality of non-targets 54. In this illustration, the

target 52 is located in the fourth row from the top and the second column from the left hand side of the grid shown in Fig. 5. All other selections are non-targets 54. The gaming device will generate a sound effect 56a or 56b if the player selects any non-target 54 in the first or second layer of 5 non-targets 54 surrounding the target 52. Preferably, as indicated by the different sound effect symbols 56a or 56b in Fig. 5, the sound effects vary depending upon how close the player comes to selecting the target 52.

As shown in Fig. 6, the same concept applies for pitfalls 58 and non-pitfalls 60 except here, the player's goal is to avoid the pitfall 58 and 10 reach non-pitfalls 60. Preferably, in the target and non-target context, the sound effects 56 encourage the player or otherwise inform the player that the player is close to reaching a target 52. In the non-pitfall and pitfall context, preferably the sound effects 56 warn the player or otherwise inform the player that the player is approaching a pitfall 58. It should be 15 appreciated that the target 52, non-targets 54, pitfall 58 and non-pitfalls 60 are shown as squares in Figs. 5 and 6 merely for illustrative purposes. They, instead, can be displayed or exhibited in any audio, visual or audio-visual form.

In two preferred embodiments shown in Figs. 7 and 8, the scheme in 20 the primary game or bonus round involves one character chasing another character along a path. The term character, as used herein, means a single character or a group of characters which move together as one

group. When the chasing character 62 catches the fleeing character 64, the player receives value. The player causes the characters to move by pushing a play button 20. After pushing this button 20, each player moves a certain number of steps forward along the path. Depending upon how many steps each character moves with respect to one another, the chasing character 62 may or may not catch the fleeing character 64. In these preferred embodiments, the target 52 is the number of steps that the chasing character 62 must take in order to catch the fleeing character 64.

As the player pushes the play button 20 on different occasions, the gaming device will generate a target value which will vary. Accordingly, the proximity of the chasing character 62 to the fleeing character 64 will vary. When the player reaches certain target values (or when the chasing character 62 comes within a certain number of steps away from the fleeing character 64), the gaming device will generate a sound effect. This sound effect may vary depending upon the target value.

For example, on one occasion, the player may push the play button 20 causing the chasing character 62 to come within four steps away from the fleeing character 64, and the gaming device may not generate a sound effect. On another occasion, the player may push the play button 20 causing the chasing character 62 to come within two steps away from the fleeing character 64, and the gaming device may generate a sound effect. In addition, the gaming device may generate different sound effects

depending upon how close the chasing character 62 comes to the fleeing character 64. For example, the gaming device may generate one type of sound effect if the chasing character 62 comes within two steps from the fleeing character 64, and the gaming device may generate another type of  
5 sound effect if the chasing character 62 comes within one step from the fleeing character 64.

In another embodiment illustrated in Fig. 9, the targets are a plurality of hearts 66. The gaming device provides the player with a definite number of arrows 68. When the player pushes the play button 20 or other activator, the gaming device shoots an arrow 68 directed towards the plurality of hearts 66. If the arrow 68 strikes a heart 66, the player gains value. If the player misses a heart 66, the player gains no value. If the player fails to strike a heart 66 after a certain number of attempts, the gaming device will generate a predetermined or randomly generated  
10 sound effect. Preferably, the sound effect expresses disappointment, such as the sound of a voice stating, "Oh, No!" An illustrative table for this embodiment is shown in Fig. 10. If a player fails to reach an award condition after a certain number of attempts, the gaming device can generate a sound effect associated with that number of attempts.  
15 Furthermore, if a player successfully avoids a deduction condition a certain number of times, the gaming device can generate a sound effect associated with those number of times.  
20

In an alternative embodiment of the present invention shown in Figs. 11 and 12, the targets are predetermined combinations of symbols 70 appearing on reels 30. In Fig. 11, the winning combination is illustrated as the combination of the following letters in the following order: A, B, C, 5 D and E. If the player reaches this combination, the gaming device awards the player with value. If the player does not reach this combination but reaches a certain proximity to this combination, the gaming device generates a sound effect. In the example shown in Fig. 12, if a player comes within a proximity of one letter away from a target 10 combination, the gaming device generates a sound effect. Here, the player reached the combination of A, B, C, D and A. As such, the player did not reach the target and will not receive value. However, since the player came within one letter from the predetermined target combination, the gaming device generates a sound effect.

15       The sound effect function of the present invention enables gaming devices to generate sound effects when a player does not reach an award or penalty (i.e., value deduction). Instead, the sound effects relate to a player's proximity to an award or penalty. If a player comes close enough to an award or penalty, the gaming device generates a sound effect. The 20 gaming device also generates a sound effect if a player fails to reach an award for a certain number of times. This type of sound effect feature provides gaming device players with information and entertainment.

While the present invention has been described in connection with what is presently considered to be the most practical and preferred embodiments, it is to be understood that the invention is not limited to the disclosed embodiments, but on the contrary is intended to cover various

5 modifications and equivalent arrangements included within the spirit and scope of the claims. It is thus to be understood that modifications and variations in the present invention may be made without departing from the novel aspects of this invention as defined in the claims, and that this application is to be limited only by the scope of the claims.

## CLAIMS

The invention is hereby claimed as follows:

- 5    1.    A gaming device comprising:
  - a plurality of non-target values and at least one target value;
  - at least one sound effect associated with at least one non-target value, said non-target value being within a certain numeric proximity to the target value;
- 10      means for providing said sound effect; and
  - means for generating said non-target values and said target values, whereby when the value generating means generates a non-target value associated with a sound effect, the sound effect providing means provides said sound effect.
- 15      2.    The gaming device of Claim 1, wherein the numeric proximity includes a set of non-target values, each of which are closer to the target value than at least one other non-target value.
- 20      3.    The gaming device of Claim 1, which include different sound effects associated with different non-target values.

4. The gaming device of Claim 1, wherein the target value is a number of movements made by a symbol.

5. A gaming device comprising:

5 a plurality of non-targets and at least one target;  
a display device adapted to display the non-targets and target;  
means for selecting the non-targets and target;  
at least one sound effect associated with at least one non-target which is within a certain proximity to a target; and  
10 means for providing said sound effects,  
whereby when the selecting means selects a non-target associated with a sound effect, the sound effect providing means provides said sound effect.

15 6. The gaming device of Claim 5, wherein the numeric proximity includes a set of non-targets, each of which are located closer to the target than at least one other non-target.

7. The gaming device of Claim 5, which includes different sound  
20 effects associated with different non-targets.

8. A gaming device comprising:

a plurality of non-pitfall values and at least one pitfall value;  
at least one sound effect associated with at least one non-pitfall  
value, said non-pitfall value being within a certain proximity to the pitfall  
value;

5       means for providing said sound effect; and  
means for generating said non-pitfall values and said pitfall values;  
whereby when the value generating means generates a non-pitfall  
value associated with a sound effect, the sound effect providing means  
provides said sound effect;

10

9.       The gaming device of Claim 8, wherein the numeric proximity  
includes a set of non-pitfall values, each of which are closer to the pitfall  
value than at least one other non-pitfall value.

15      10.   The gaming device of Claim 8, which includes different sound  
effects associated with different non-pitfall values.

11.      A gaming device comprising:

20       a plurality of non-pitfalls and at least one pitfall;  
a display device adapted to display the non-pitfalls and pitfall;  
means for selecting the non-pitfalls and pitfall;

at least one sound effect associated with at least one non-pitfall  
which is within a certain proximity to a pitfall; and  
means for providing said sound effects;  
whereby when the selecting means selects a non-pitfall associated  
5 with a sound effect, the sound effect providing means provides said sound  
effect.

12. The gaming device of Claim 11, wherein the numeric proximity  
includes a set of non-pitfalls, each of which are located closer to the pitfall  
10 than at least one other non-pitfall.

13. The gaming device of Claim 11, which includes different sound  
effects associated with different non-pitfalls.

15 14. A gaming device comprising:  
at least one desired outcome;  
a plurality of undesired outcomes, where at least one undesired  
outcome is associated with at least one sound effect; and  
means for providing the sound effect,  
20 whereby, after an undesired outcome is generated at least once,  
the sound effect providing means provides the sound effect associated  
with said undesired outcome.

15. The gaming device of Claim 14, which includes different sound effects associated with the number of times an undesired outcome is generated.

5

16. The gaming device of Claim 14, which includes a plurality of undesired outcomes, and a sound effect associated with each undesired outcome.

10 17. The gaming device of Claim 16, wherein the sound effects associated with each undesired outcome are different.

18. The gaming device of Claim 14, which includes a display device adapted to display the desired outcome and undesired outcomes.

15

19. A method of operating a gaming device comprising the steps of:

- (a) initiating a primary game or bonus round;
- (b) providing a plurality of non-target values and at least one target value;

20 (c) generating at least one non-target value; and

- (d) generating a sound effect when the generated non-target value is within a certain proximity to a target value.

20. The method of Claim 19, which includes the step of providing a proximity which includes a set of non-target values, each of which are closer to a target value than at least one other non-target value.

5

21. The method of Claim 19, which includes the step of varying the sound effect with the varying proximity of a generated non-target value to a target value.

10 22. A method of operating a gaming device comprising the steps of:

- (a) initiating a primary game or bonus round;
- (b) displaying a plurality of non-targets and at least one target;
- (c) causing at least one non-target to be selected; and
- (d) generating a sound effect when the selected non-target is

15 within a certain proximity to a target.

23 The method of Claim 22, which includes the step of providing a proximity which includes a plurality of non-targets, each of which are closer to a target than at least one other non-target.

20

24 The method of Claim 22 which includes the step of varying the sound effect with the varying proximity of a selected non-target to a target.

25. A method of operating a gaming device comprising the steps of:
- (a) initiating a primary game or bonus round;
  - (b) providing a plurality of non-pitfall values and at least one pitfall value;
  - (c) generating at least one non-pitfall value; and
  - (d) generating a sound effect when the generated non-pitfall value is within a certain proximity to a pitfall value.
- 10 26. The method of Claim 25, which includes the step of providing a proximity which includes a set of non-pitfall values, each of which are closer to a pitfall value than at least one other non-pitfall value.
- 15 27. The method of Claim 25, which includes the step of varying the sound effect with the varying proximity of a generated non-pitfall value to a pitfall value.
28. A method of operating a gaming device comprising the steps of:
- (a) initiating a primary game or bonus round;
  - (b) displaying a plurality of non-pitfalls and at least one pitfall;
  - (c) causing at least one non-pitfall to be selected; and

(d) generating a sound effect when the selected non-pitfall is within a certain proximity to a pitfall.

29. The method of Claim 28, which includes the step of providing a  
5 proximity which includes a plurality of non-pitfalls, each of which are closer to a pitfall than at least one other non-pitfall.

30. The method of Claim 28, which includes the step of varying the sound effect with the varying proximity of a selected non-pitfall to a pitfall.

10

31. A method of operating a gaming device comprising the steps of:

(a) providing a plurality of possible undesired outcomes and at least one possible desired outcome;

(b) causing an undesired outcome to occur; and

15 (c) generating a sound effect when said undesired outcomes occurs.

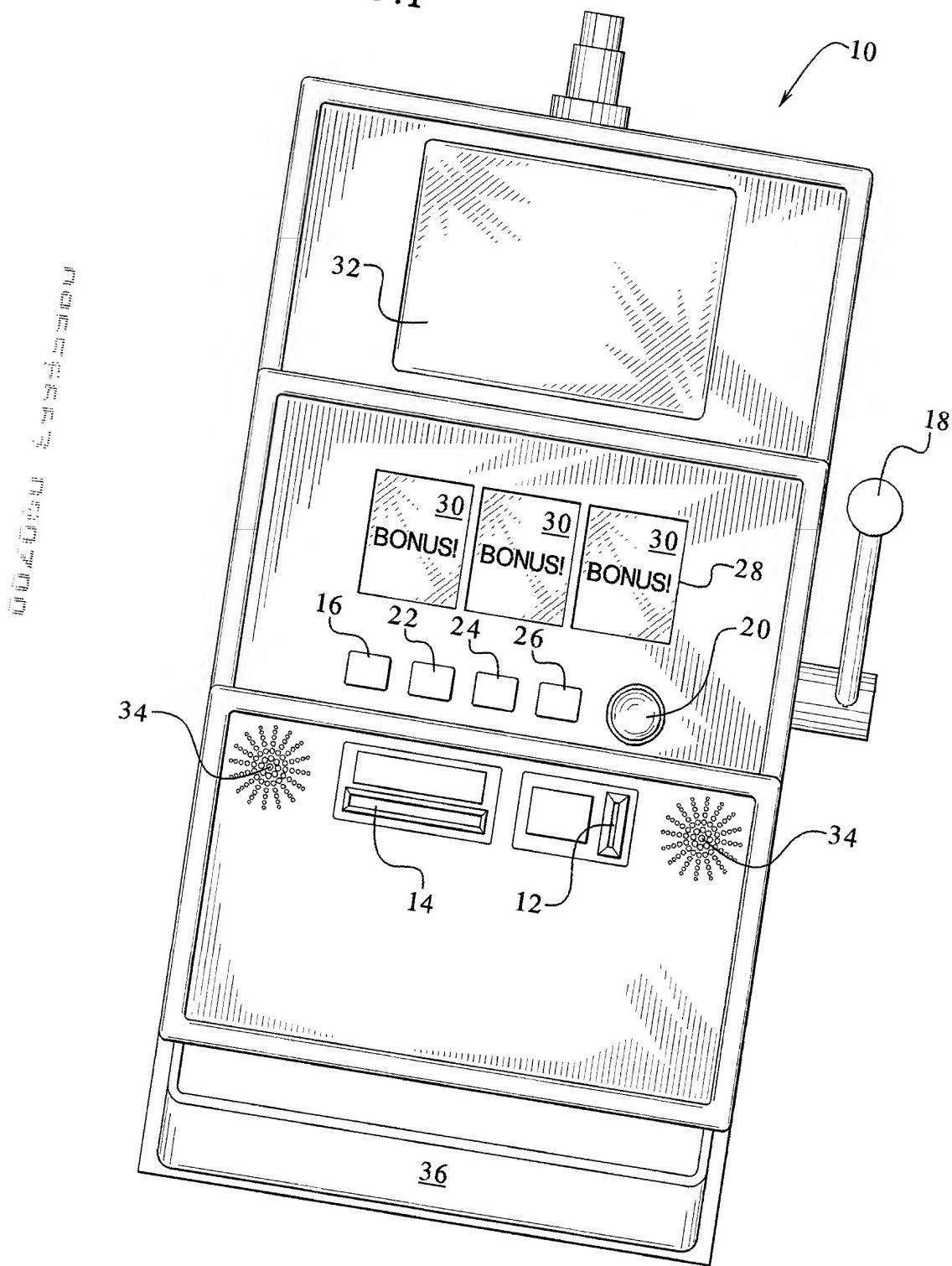
32. The method of Claim 31, which includes providing a plurality of possible undesired outcomes and providing a sound effect associated with  
20 each such sound effect.

33. The method of Claim 32, wherein the step of generating said sound effects includes generating said sound effects when a predetermined number of undesired outcomes occur.

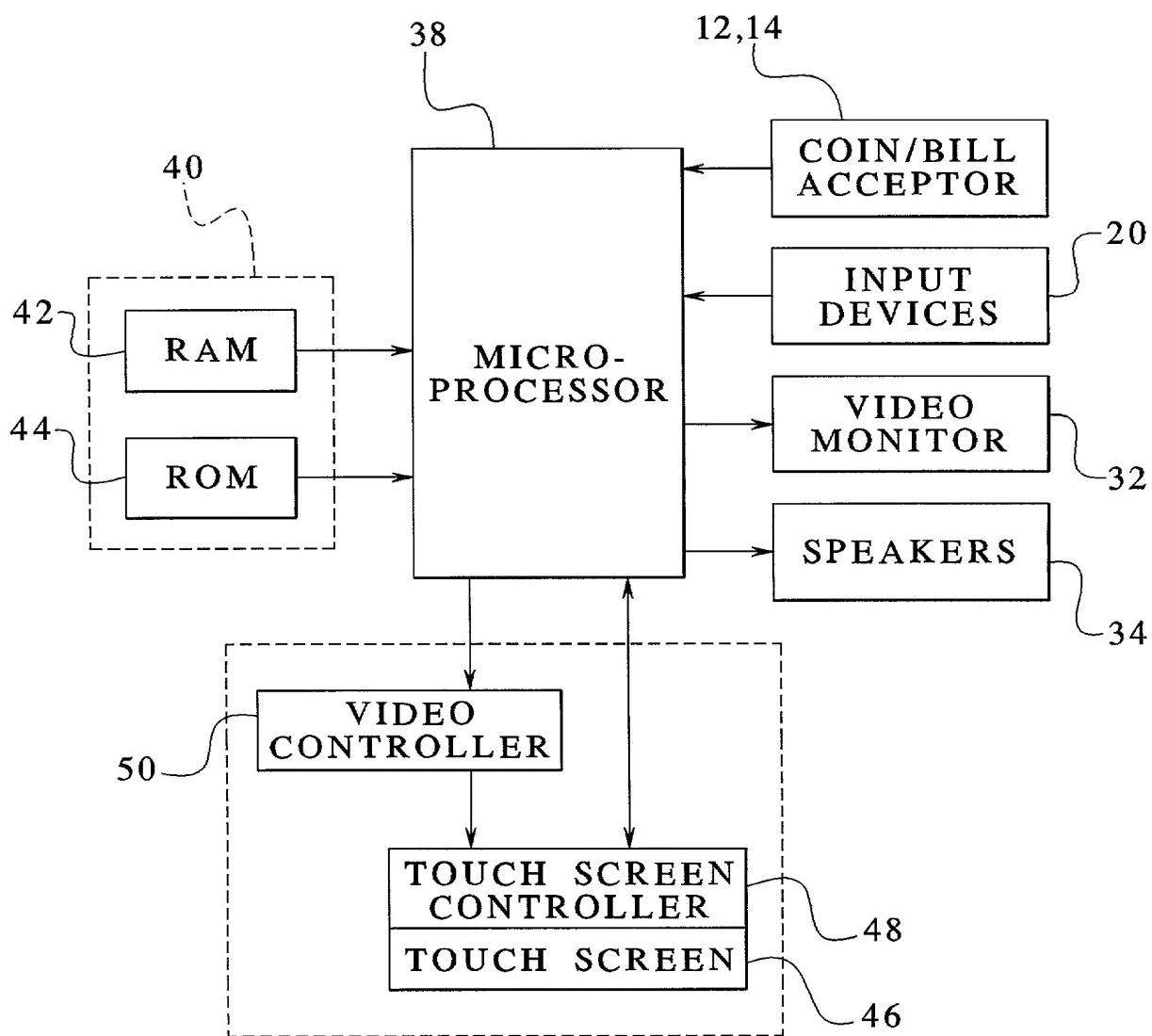
## **ABSTRACT OF THE DISCLOSURE**

5       The present invention relates to a gaming device which generates a sound effect when the player comes within a certain proximity of reaching an award or deduction but does not reach an award or deduction. The gaming device also generates sound effects when the player fails to reach an award a certain number of times. This feature, usable in primary  
10      games and bonus rounds, provides players with information and enhances the enjoyment and entertainment which players experience.

FIG.1



**FIG.2**



**FIG.3**

TARGETS	NON-TARGETS
	10
	20
	30
	40  56a
50	45  56b
	55  56b
	60  56a
	70
	80
	90
	100

**FIG.4**

PITFALLS	NON-PITFALLS
	10
	20
	30
	40  56a
50	45  56b
	55  56b
	60  56a
	70
	80
	90
	100

**FIG.5**

Non-Target <u>54</u>	Non-Target <u>54</u>	Non-Target <u>54</u>	Non-Target <u>54</u>	Non-Target <u>54</u>
Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u>
Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u>
Non-Target <u>54</u> ♩~56b	<b>TARGET</b> <u>52</u>	Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u>
Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♩~56b	Non-Target <u>54</u> ♪~56a	Non-Target <u>54</u>

**FIG.6**

Non-Pitfall <u>60</u>	Non-Pitfall <u>60</u>	Non-Pitfall <u>60</u>	Non-Pitfall <u>60</u>	Non-Pitfall <u>60</u>
Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u>
Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u>
Non-Pitfall <u>60</u> ♩~56b	<b>PITFALL</b> <u>58</u>	Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u>
Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♩~56b	Non-Pitfall <u>60</u> ♪~56a	Non-Pitfall <u>60</u>

FIG.7

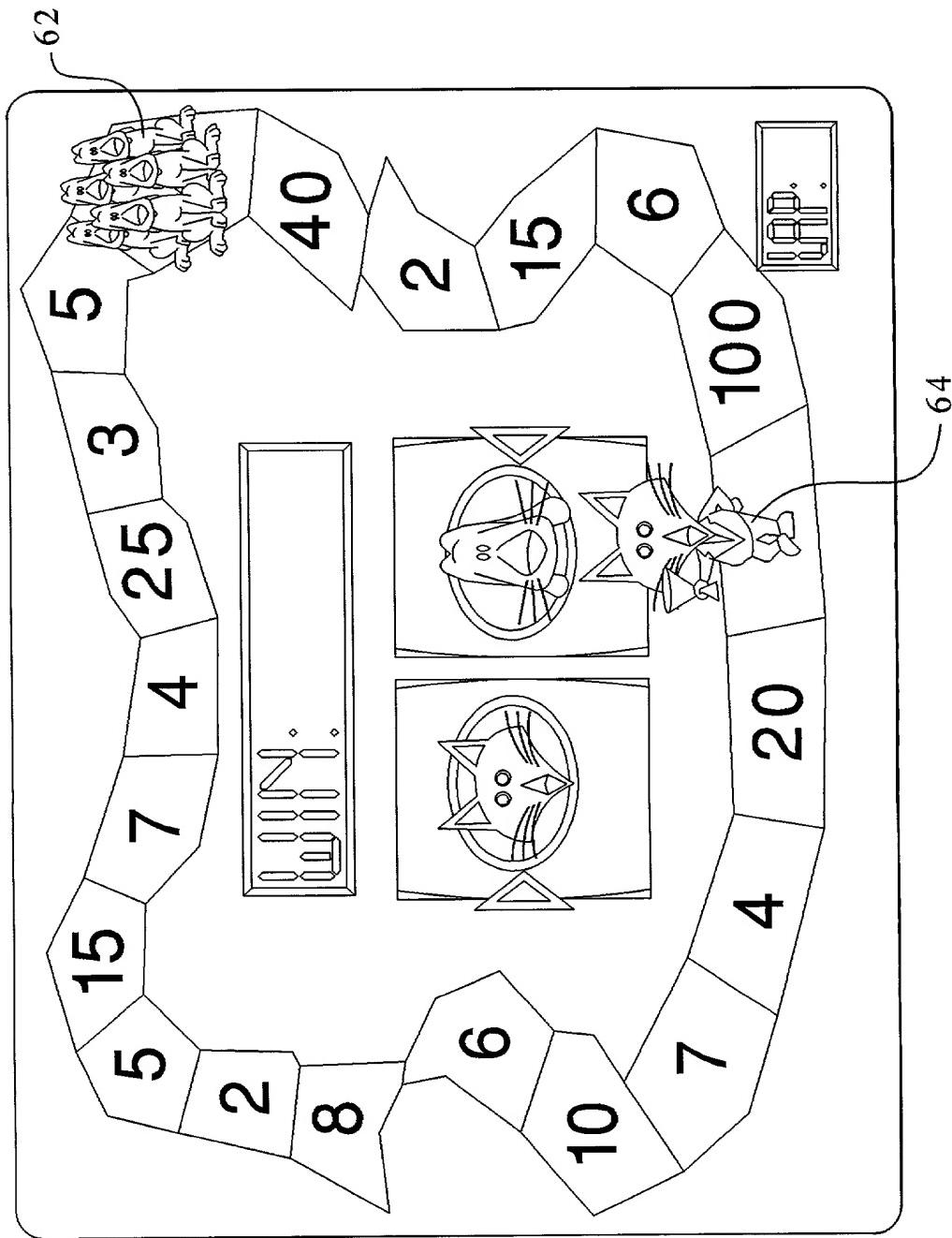
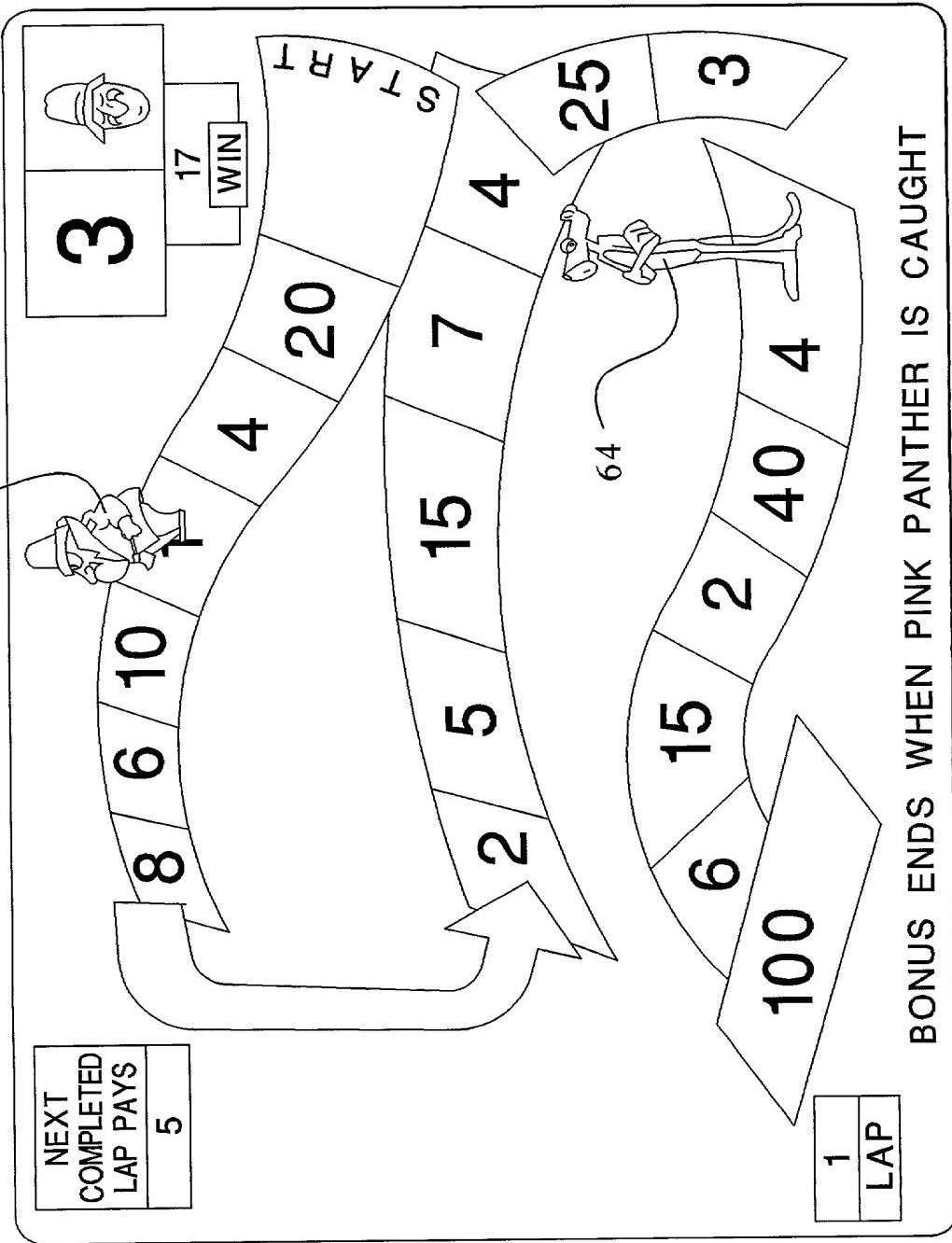
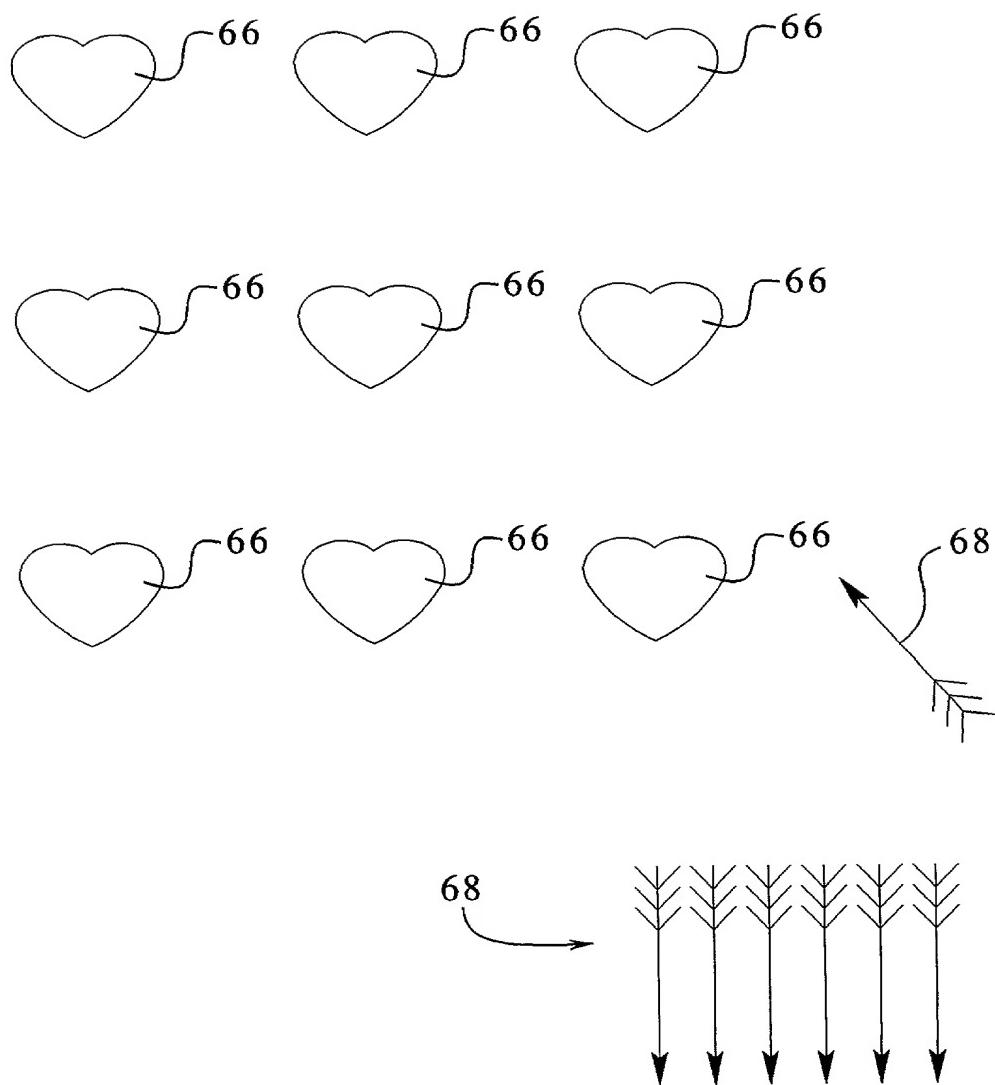


FIG. 8

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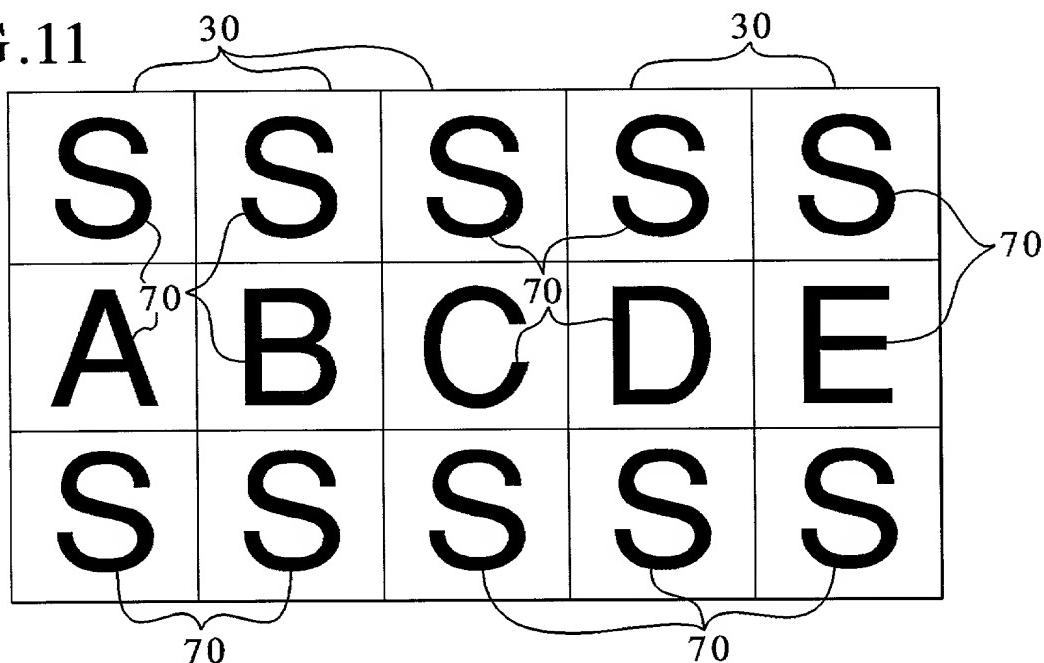
**FIG.9**



**FIG.10**

NUMBER OF TIMES PLAYER DOES NOT REACH AWARD OR DEDUCTION	SOUND EFFECT
2	SOUND 2
3	SOUND 3
4	SOUND 4
5	SOUND 5
N	ANY SOUND

**FIG.11**



**FIG.12**

